

# Unstable horizons and cosmic censorship violation in holography

Alex Buchel

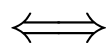
(Perimeter Institute & University of Western Ontario)

to appear (with Luis Lehner)

⇒ String theory/gauge theory correspondence is by now a mature framework to address interesting questions in strongly coupled gauge theories, that are often inaccessible with other theoretical tools

- In a nutshell, it establishes a *holographic correspondence* (a dictionary) between two objects:

**gauge theory**



**higher – dimensional**

**gravitational theory/string theory**

- A utility of the correspondence is in the fact that the strong coupling questions about the gauge theory are mapped into the questions in classical gravity

$\implies$  Physics of holographic superconductors

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- $F_{\mu\nu}$  is a  $U(1)$  field strength
- $\phi$  is a scalar field dual to  $\Delta = 2$  (a relevant operator) in  $CFT_3$ :

$$\phi = 0 \frac{1}{r} + \mathcal{O}_2 \frac{1}{r^2} + \dots$$

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- Consider equilibrium states of a CFT at a finite temperature  $T$  and a chemical potential  $\mu$

- As well known, there are two phases of the model distinguished whether:

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  - exists for arbitrary temperature  $T \geq 0$
  - gravitationally described by Reissner-Nordstrom  $AdS_4$  black hole
  - with unbroken  $\mathbb{Z}_2$  symmetry ( $\phi \leftrightarrow -\phi$ )

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- At low temperatures this  $\mathbb{Z}_2$  symmetric phase becomes unstable:
  - on the gravity side this is a Gregory-Laflamme instability due to 'scalarization' of the Reissner-Nordstrom  $AdS_4$  black hole horizon

- $\phi$  scalar is above the  $AdS_4$  Breitenlohner-Freedman (BF) bound

$$m_\phi^2 = -2 \quad > \quad m_{BF[AdS_4]}^2 = -\frac{(4-1)^2}{4L^2} = -\frac{9}{4}$$

- As Reissner-Nordstrom  $AdS_4$  black hole becomes extremal ( $\frac{T}{\mu} \rightarrow 0$ ), it develops  $AdS_2 \times R^2$  near horizon geometry with the curvature radius

$$L_2^2 = \frac{L^2}{6}$$

- In this limit

$$m_\phi^2 = -2 \quad < \quad m_{BF[AdS_2]}^2 = -\frac{(2-1)^2}{4L_2^2} = -\frac{3}{2}$$

becomes unstable (its quasinormal frequency has  $\text{Im}[\omega] > 0$ )

- The condensation of the gravitational scalar  $\phi$  at low temperatures
  - is dynamically “stopped” by nonlinear effects
  - spontaneously breaks  $\mathbb{Z}_2$  symmetry, leading to a new equilibrium phase of the CFT with

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$\implies$  There are lots of studies/generalizations of the described phenomena in holography

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  - we work in microcanonical ensemble
  - I will keep calling the instability "GL"
- There is an equilibrium phase with spontaneously broken symmetry, branching off the GL onset of the instability, yet, this phase:
  - does not exist **below** the critical energy
  - has **lower** entropy above the criticality than the symmetric phase
  - thus,  
**the horizon is unstable, but it is unknown what is the end point of its instability!**



⇒ To the best of my knowledge, this phenomena was first identified in bottom-up holographic model:

**A. Buchel and C. Pagnutti, “Exotic Hairy Black Holes,” Nucl. Phys. B 824, 85 (2010) doi:10.1016/j.nuclphysb.2009.08.017 [arXiv:0904.1716 [hep-th]]**

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⇒ Later, it was observed in top-down construction:

**A. Donos and J. P. Gauntlett, “Superfluid black branes in  $AdS_4 \times S^7$ ,” JHEP 1106, 053 (2011) doi:10.1007/JHEP06(2011)053 [arXiv:1104.4478 [hep-th]]**

$\implies$  It is also the GL instability physics of small black holes in  $AdS_5 \times S^5$ :

**O. J. C. Dias, J. E. Santos and B. Way, “Lumpy  $AdS_5 \times S^5$  black holes and black belts,” JHEP 1504, 060 (2015)  
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⇒ I will report on progress in understanding this phenomena

## Outline of the talk:

- "Exotic hairy black holes"
  - equilibrium states
  - GL instability
- Dynamics of the exotic unstable horizons
  - dynamical setup
  - validation of the GL instability
  - fully non-linear evolutions above/below critically
- Conclusions and comments

$\implies$  The effective four-dimensional gravitational bulk action takes form ( $AdS_4$  radius is set to 1)

$$S_4 = S_{CFT} + S_r + S_i = \frac{1}{2\kappa^2} \int dx^4 \sqrt{-\gamma} [\mathcal{L}_{CFT} + \mathcal{L}_r + \mathcal{L}_i]$$

with

- a CFT part

$$\mathcal{L}_{CFT} = R + 6$$

- its deformation by a relevant operator  $\mathcal{O}_r$  (here  $\Delta_r = 2$ )

$$\mathcal{L}_r = -\frac{1}{2} (\nabla\phi)^2 + \phi^2$$

- a sector  $S_i$  involving an irrelevant operator  $\mathcal{O}_i$  (here  $\Delta_i = 4$ ) along with its mixing with  $\mathcal{O}_r$  under the RG dynamics

$$\mathcal{L}_i = -\frac{1}{2} (\nabla\chi)^2 - 2\chi^2 - g\phi^2\chi^2$$

$\implies$  Effective action  $S_4$  has a  $\mathbb{Z}_2 \times \mathbb{Z}_2$  discrete symmetry that acts as a parity transformation on the scalar fields  $\phi$  and  $\chi$ .

- The discrete symmetry

$$\phi \leftrightarrow -\phi$$

is explicitly broken by a relevant deformation of the  $AdS_4$  CFT;

- while as we will see, the

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symmetry is broken spontaneously.

**Note:** for  $g < 0$ ,

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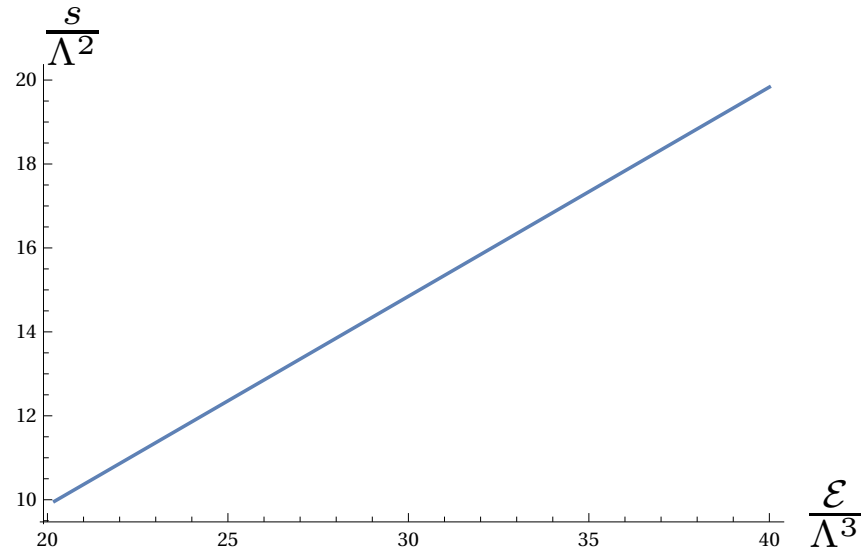
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and can be **below** effective BF bound once the relevant scalar  $\phi$  becomes large at the horizon (for sufficiently low temperature)

$\implies$  This mechanism for the GL instability has been proposed by Gubser



Thermal equilibrium phase with  $\langle \mathcal{O}_i \rangle = 0$ :

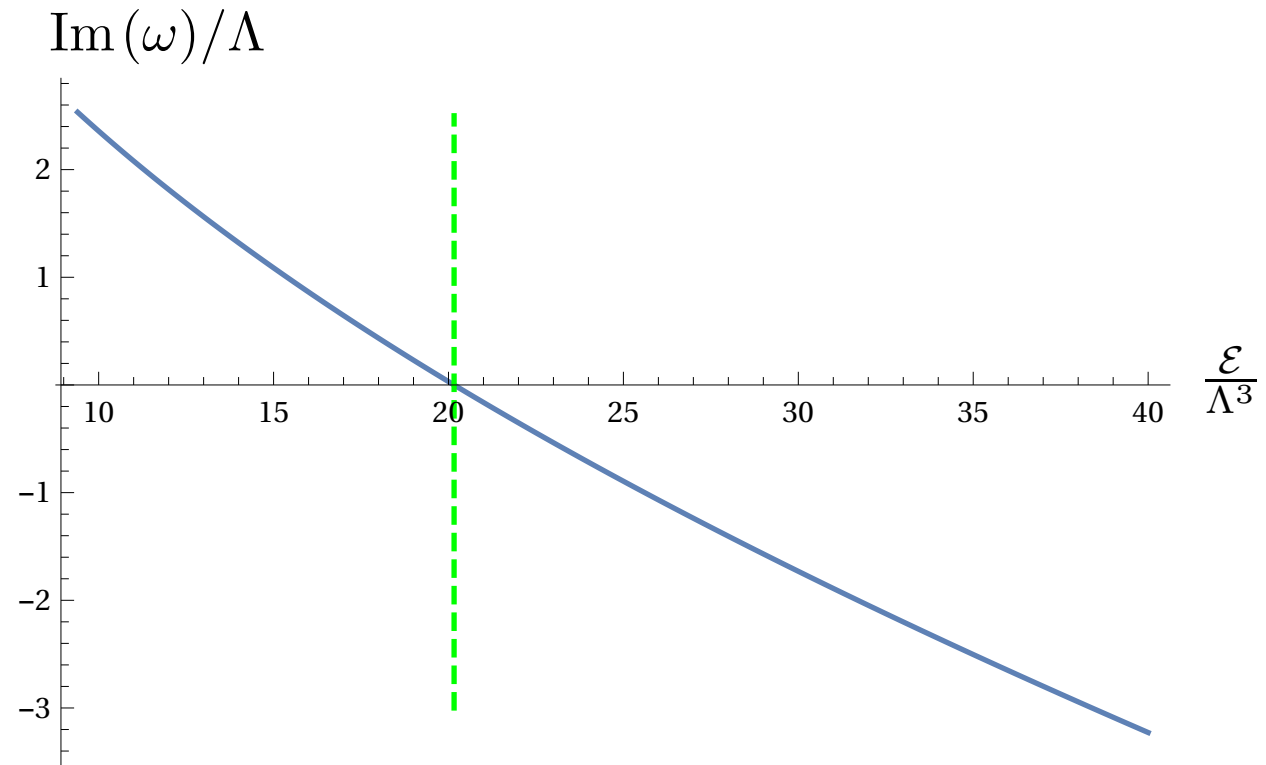


- $\Lambda$  — the mass scale associates with the relevant deformation of the CFT:

$$\mathcal{H}_{CFT} \rightarrow \mathcal{H}_{CFT} + \Lambda \mathcal{O}_r$$

- $\mathcal{E}$  — equilibrium energy density
- $s$  — equilibrium entropy density

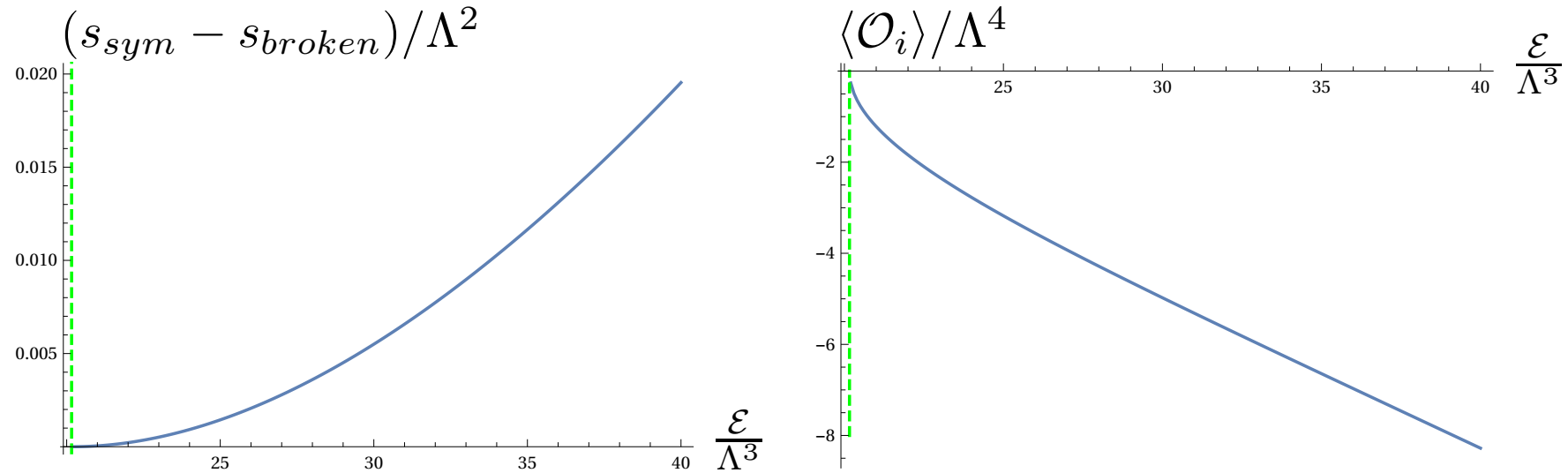
Lowest QMN of  $\chi$  scalar (dual to  $\mathcal{O}_i$  operator) in  $\langle \mathcal{O}_i \rangle = 0$  phase:



- Vertical green line indicates instability below the critical energy density  $\mathcal{E}_c$ :

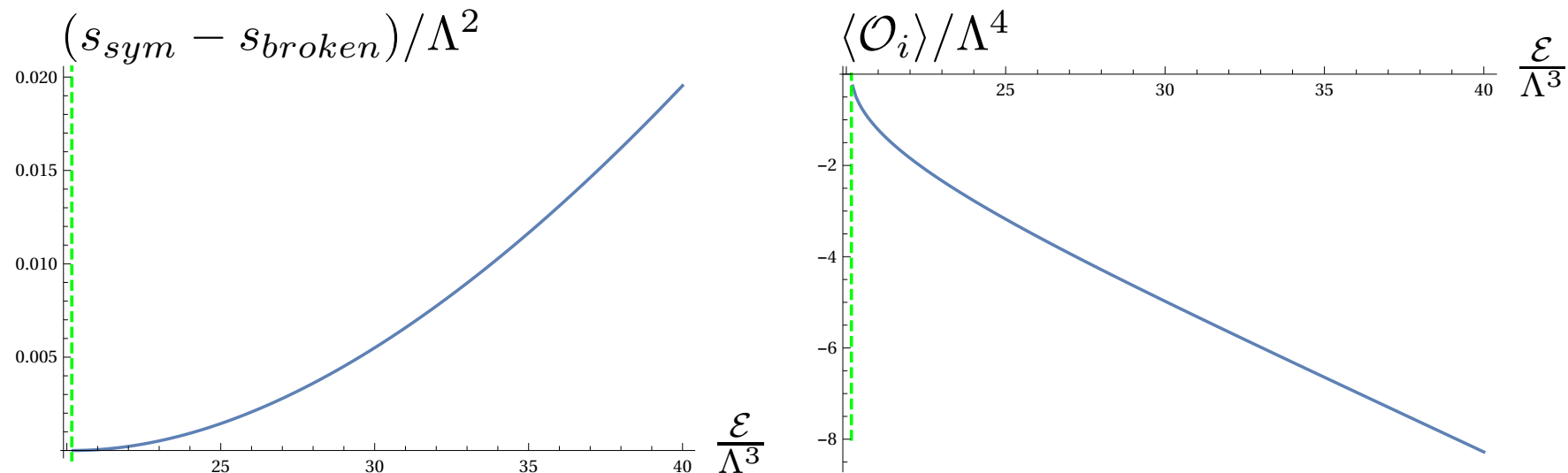
$$\frac{\mathcal{E}_c}{\Lambda^3} = 20.16021(8)$$

The phase with  $\langle \mathcal{O}_i \rangle \neq 0$  (symmetry broken phase) exists only for  $\mathcal{E} > \mathcal{E}_c$ :



- Vertical green lines indicate  $\mathcal{E}_c$
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What is the end-point of the symmetric phase instability for  $\mathcal{E} < \mathcal{E}_c$ ?

$\implies$  Dynamical set-up:

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- metric ansatz

$$ds_4^2 = 2dt (dr - A(t, r) dt) + \Sigma(t, r)^2 [dx_1^2 + dx_2^2]$$

$$\phi = \phi(t, r), \quad \psi = \psi(t, r)$$

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- boundary asymptotic expansions ( $r \rightarrow \infty$ ):

$$\Sigma = r + \lambda(t) - \frac{1}{8}p_1^2 \frac{1}{r} + \mathcal{O}\left(\frac{1}{r^2}\right)$$

$$A = \frac{r^2}{2} + \lambda(t) r - \frac{1}{8}p_1^2 + \frac{1}{2}\lambda(t)^2 - \dot{\lambda}(t) \\ + \left( \mu - \frac{1}{4}p_1 p_2(t) - \frac{1}{4}p_1^2 \lambda(t) \right) \frac{1}{r} + \mathcal{O}\left(\frac{1}{r^2}\right)$$

$$\phi = \frac{p_1}{r} + \frac{p_2(t)}{r^2} + \mathcal{O}\left(\frac{1}{r^3}\right), \quad \chi = \frac{q_4(t)}{r^4} + \mathcal{O}\left(\frac{1}{r^5}\right)$$

$\implies$  Parameters of the asymptotic expansion:

$$p_1 \text{ (const)}, \quad p_2(t), \quad q_4(t), \quad \mu \text{ (const)}, \quad \lambda(t)$$

Interpretation:

•

$$p_1 = \Lambda, \quad p_2(t) = \langle \mathcal{O}_r(t) \rangle$$

•

$$q_4(t) = \langle \mathcal{O}_i(t) \rangle$$

•

$$-4\mu = \frac{\mathcal{E}}{\Lambda^3}$$

•  $\lambda(t)$  — residual radial coordinate diffeomorphism parameter

$$r \rightarrow r + \lambda(t)$$

adjusted to keep the location of the apparent horizon fixed:

$$\left( \partial_t + A(t, r) \partial_r \right) \Sigma(t, r) \equiv d_+ \Sigma(t, r) \Big|_{r=1} = 0$$



⇒ Brief comment on numerical simulations:

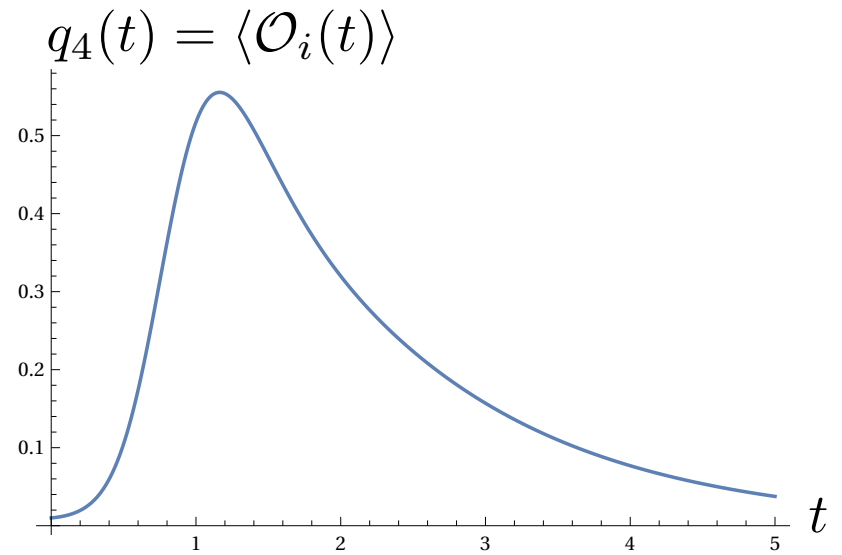
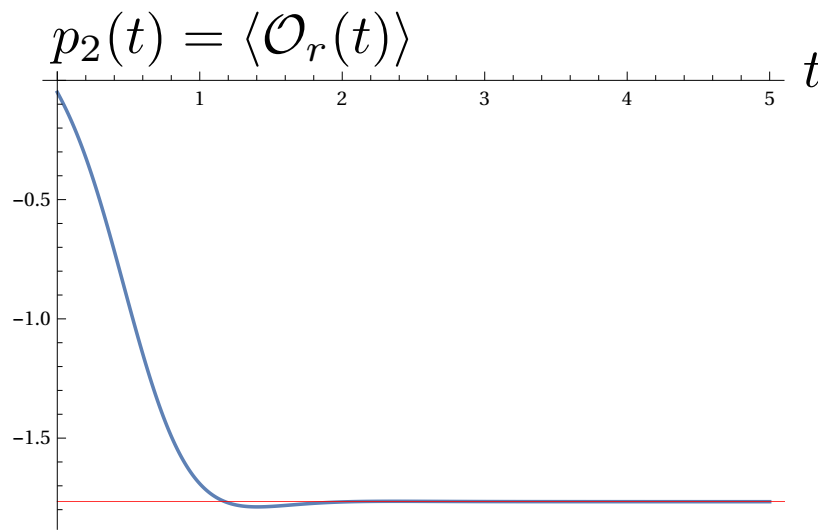
- Use Chesler-Yaffe characteristic formulation as in 1309.1439
- There are subtleties with field redefinitions (scalar  $\phi$  with a non-normalizable coefficient turned on)
- Subtleties in adjusting  $\lambda(t)$  to keep apparent horizon location at  $r = 1$ .
- Initial conditions are specified with constant amplitudes  $\{\mathcal{A}_\phi, \mathcal{A}_\chi\}$ :

$$\phi(t = 0, r) = \frac{p_1}{r} + \mathcal{A}_\phi \frac{e^{-1/r}}{r^2}$$

$$\chi(t = 0, r) = \mathcal{A}_\chi \frac{e^{-1/r}}{r^4}$$

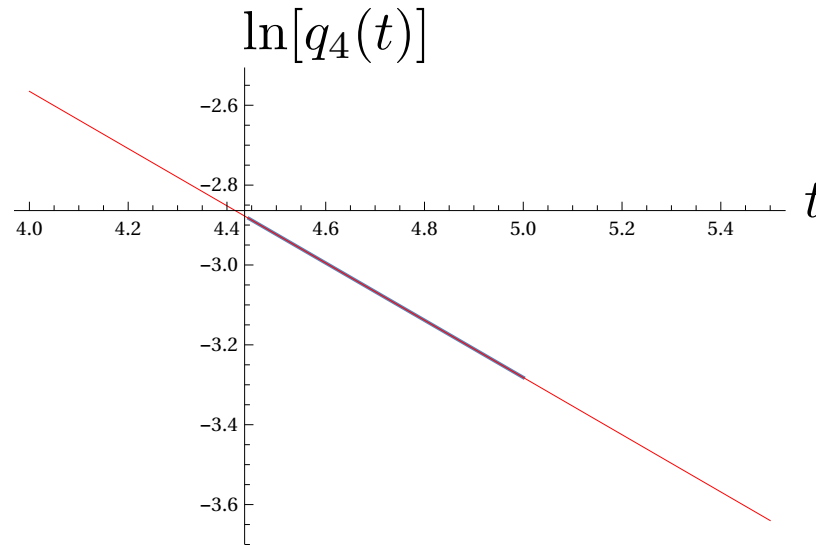
⇒ We did convergence tests and computed residuals; the code is stable, when the final state is known, it equilibrates to it; many more tests...

$\implies$  Results  $\mathcal{E} > \mathcal{E}_c$  (stable regime)



- **Left panel:** expectation value of the relevant operator; the red thin line corresponds to equilibrium value  $\langle \mathcal{O}_r \rangle^{equilibrium}$ , given  $\Lambda$
- **Right panel:** expectation value of the irrelevant operator; note that  $\langle \mathcal{O}_i(t) \rangle \propto e^{-\text{const} \cdot t}$  at late times.

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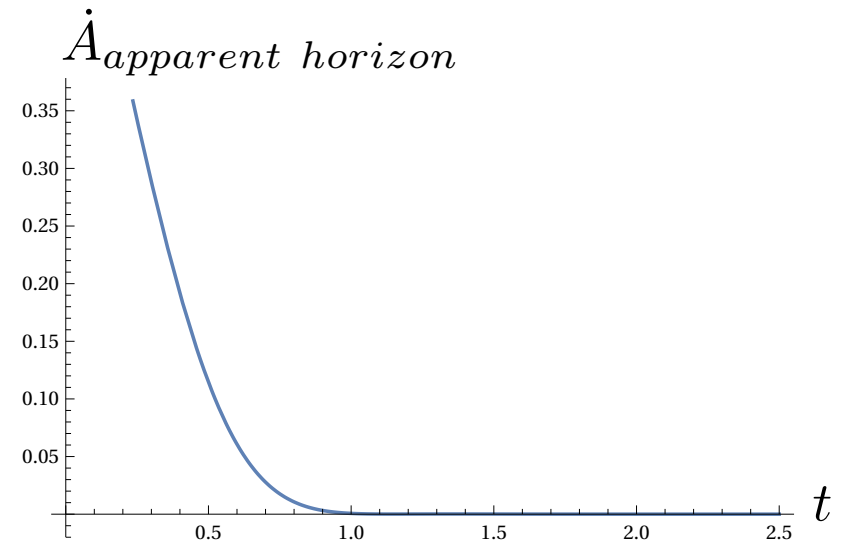
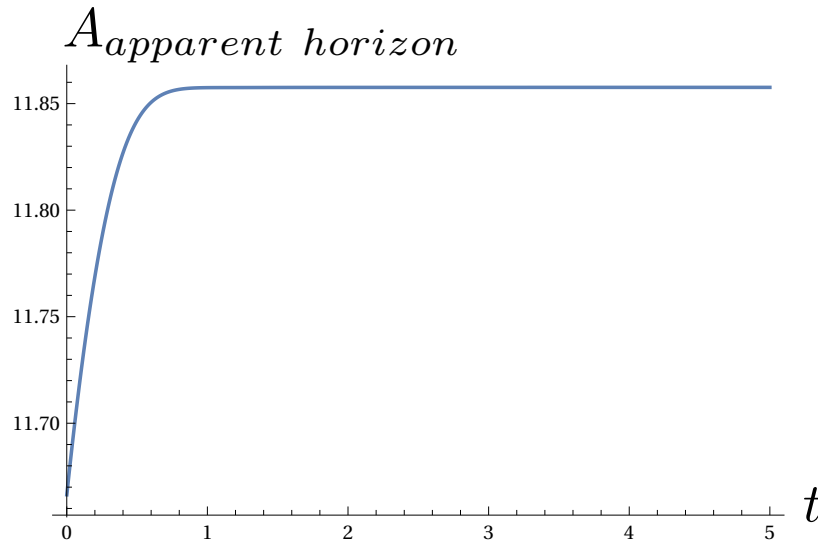
- **Blue curve:** late time behaviour of  $\ln[q_4]$
- **Thin red curve:** a linear fit to the late time behaviour,

$$\ln[q_4] \Big|_{\text{fit}} = 0.301112 - 0.716569 t$$

- $\chi$ -scalar quasinormal frequency at given  $\mathcal{E}$ :

$$\text{Im}[\omega] = -0.717075803189094581151415099220017210941$$

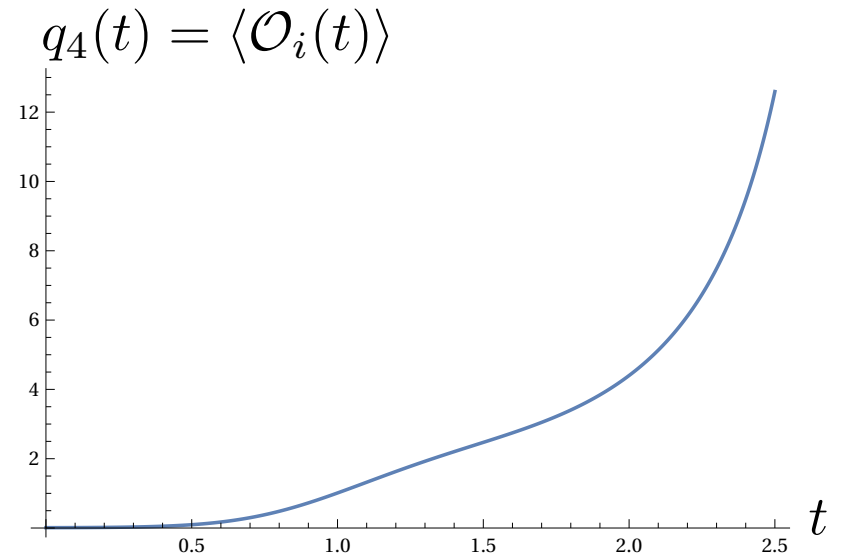
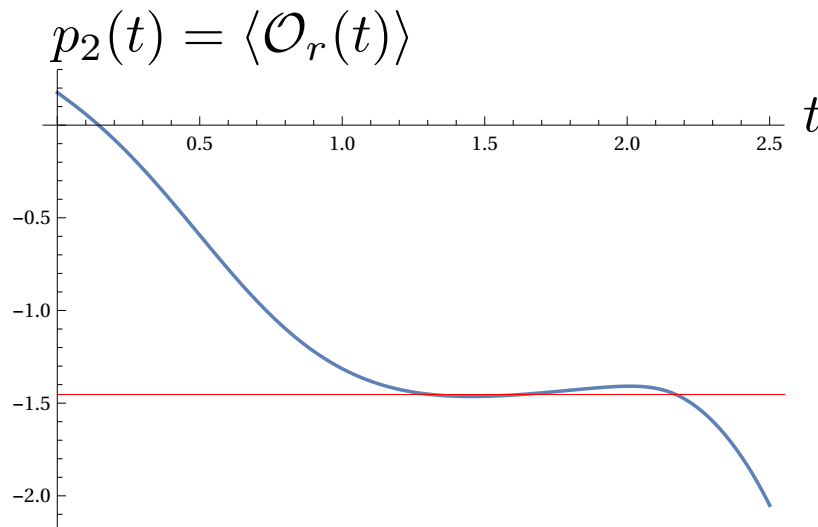
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- **Left panel:** area of apparent horizon
- **Right panel:** growth rate of apparent horizon area;

$$\lim_{t \rightarrow \infty} \dot{A}_{\text{apparent horizon}} = 0$$

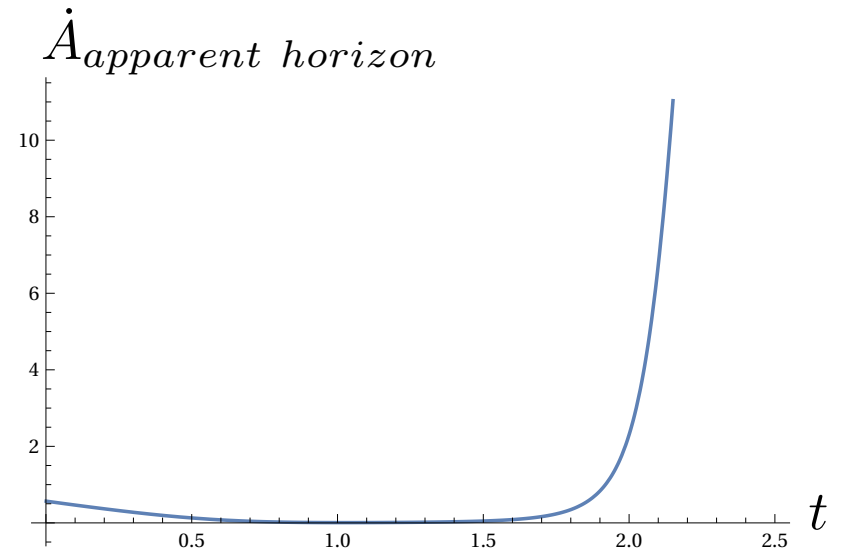
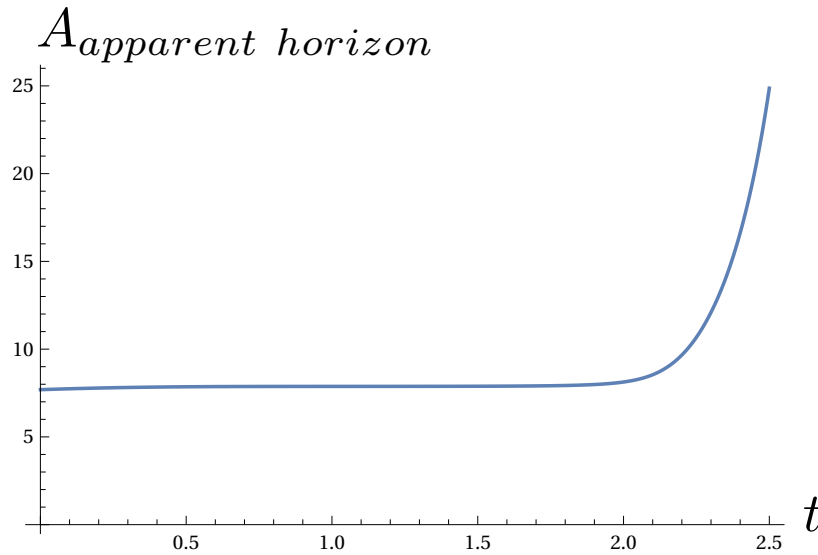
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- **Right panel:** expectation value of the irrelevant operator; note that  $\langle \mathcal{O}_i(t) \rangle$  exponentially growth with time (excluding the backreaction the growth rate matches perfectly the QNM prediction)

$\implies$  the code crashed shortly after the time presented

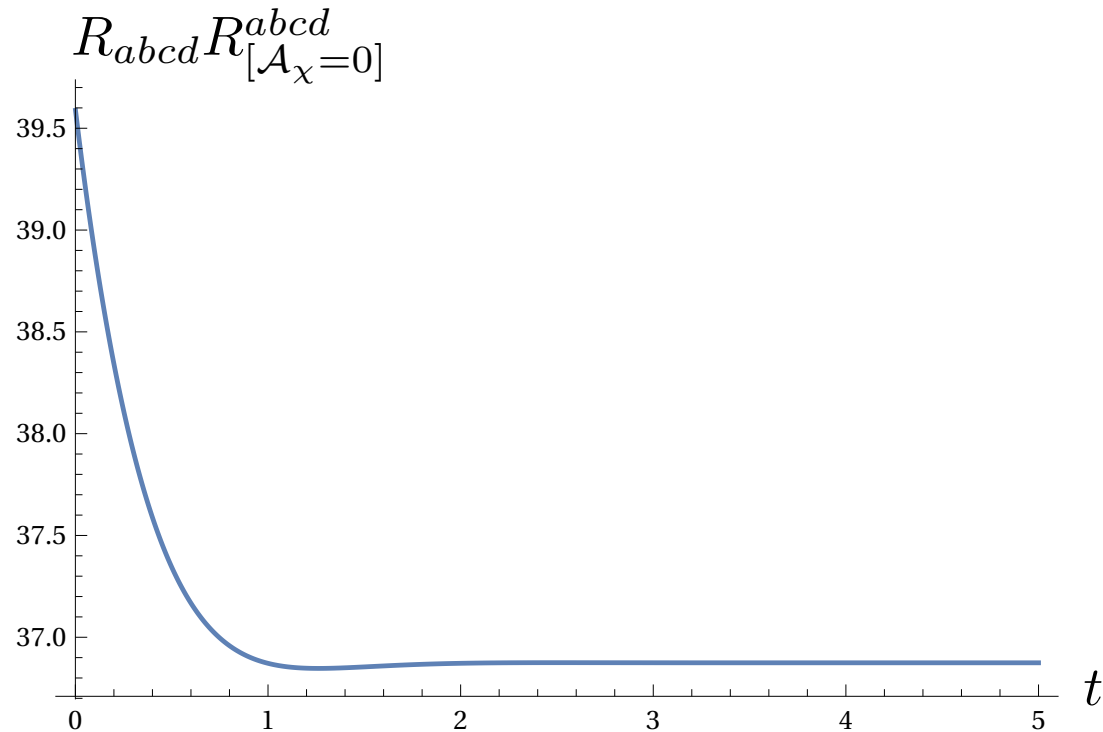
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$$\min_t \dot{A}_{\text{apparent horizon}} \gtrsim 0.007$$

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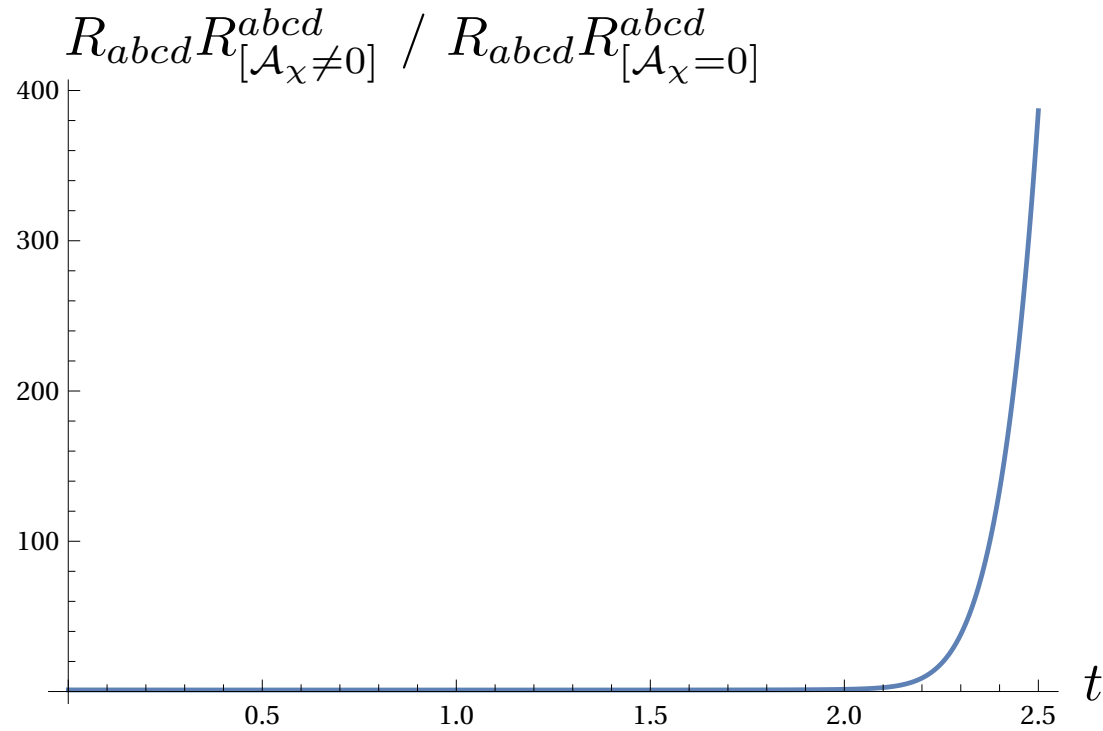


- Simulation below GL instability, but with  $\mathcal{A}_\chi = 0$  (the instability is suppressed):

$$\lim_{t \rightarrow \infty} R_{abcd}R^{abcd}_{[A_\chi=0]} = 36.874569568242876$$

- In  $AdS_4$ :  $R_{abcd}R^{abcd} = 24$

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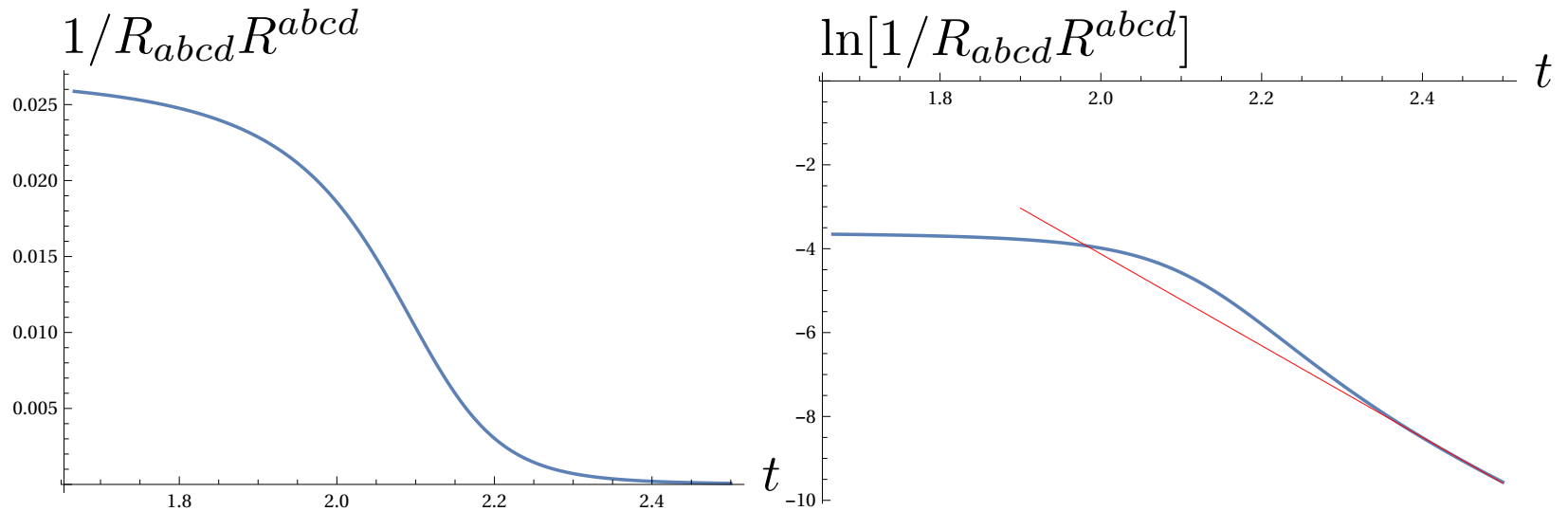


- Simulation below GL instability, with  $\mathcal{A}_\chi = 0.01$  (same as previous plots for  $\{\phi, \chi\}$ )
- In  $AdS_4$ :  $R_{abcd}R^{abcd} = 24$



$\implies$  Results  $\mathcal{E} < \mathcal{E}_c$  (unstable regime)

- Is a curvature singularity reached in finite time?



- It appears it takes infinite time to reach the curvature singularity
- The red line represents a linear fit to the data tail:

$$fit = 17.7397 - 10.9328 t$$

Conclusions:

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- I demonstrated that there are exotic holographic phase transitions with spontaneous symmetry breaking where
  - symmetric phase becomes unstable when  $\mathcal{E} < \mathcal{E}_c$  with respect to symmetry-broken fluctuations
  - equilibrium symmetry-broken phase exists only for  $\mathcal{E} > \mathcal{E}_c$
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- This phenomena occurs in top-down/bottom-up up holographic models; in particular it is relevant for the small black hole instability in  $AdS_5 \times S^5$
- This raises a question: *what is the fate of the instability?*
  - I argued, based on a numerical simulation, that the endpoint of the evolution is a naked singularity
  - It appears the singularity does not form in finite boundary time

⇒ More studies are needed...